

Obesity, Diet and the Gut Microbiota

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Abstract A possible causal role of the gut microbiota in human obesity is capturing interest. Recent experimental evidence and mechanistic hypotheses suggest that a ‘dysbiotic’ large bowel microbiota, induced mainly by poor diet, increases dietary energy bioavailability and storage in the host. However, research findings in both animals and humans are inconsistent and whether an altered gut microbiota meaningfully impacts host energetics remains an open question. Future intervention studies must control diet and other lifestyle factors that profoundly influence the composition and activity of the intestinal microbiota to define its potential role in and contribution to the human obesity problem.

Keywords Obesity · Gut · Microbiota · Microbiome · Diet · Lifestyle · Fibre · SCFA

Introduction

Obesity is a complex and refractory global public health issue that imposes a considerable economic burden on healthcare systems worldwide. Global prevalence has increased dramatically over the last few decades and now well over 1 billion people are overweight or obese [1].

Obesity is caused by a chronic, positive energy imbalance between energy consumption and energy expenditure

resulting in the gradual accumulation of triglycerides mainly in adipose tissue. Genetics and multiple environmental exposures play a role but our understanding of the relative importance of the component factors that determine an individual’s susceptibility to obesity is incomplete [2]. While the genetic basis is clearly important [3, 4], the rapid spread within and across populations points to the overwhelming importance of environmental factors. Modern (westernised) lifestyles are seen as major driving forces. Altered eating habits, the ready availability and affordability of energy-dense foods rich in fat and refined carbohydrates, combined with increasing sedentariness, are considered pivotal in the loss of energy homeostasis [5].

A less obvious environmental factor capturing the attention of researchers is the gut microbiota. Recent controlled studies, mostly in mice, provide causal evidence that large bowel microbes directly regulate energy metabolism of the host through a diversity of mechanisms and pathways [6–9]. While obesity and obesity-related chronic diseases have been linked to a colonic microbiota disrupted by poor lifestyle and choice of diet [10], there is as yet no consistent evidence that the gut microbiota per se makes a seminal contribution to excessive weight gain in humans.

In this paper, we briefly review the evidence for a role the large bowel microbiota might play in the development of human obesity. We also consider the driving influence of diet on that association and the possible consequences for energy homeostasis, weight gain and adiposity.

Human Gut Microbiota

The gut microbiota is often considered as a major organ in its own right given its large biomass, cellular density and diversity in taxa and function that impact human health [11]. Microbes colonise the gut (and other body surfaces) for our entire

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life. Nearly all are bacteria (and archaea), but viruses, yeasts and other fungi [12], as well as unicellular eukaryotes and helminths, are (or may be) present. Recent high throughput molecular techniques are revolutionising our understanding of the taxonomic composition and activities of gut microbes and their variation within and between individuals. Bacteria belonging to the Bacteroidetes and Firmicutes phyla predominate the faecal community (>90 %) [8]; another several phyla are usually present and many more are occasionally represented [13]. Common genera include *Bacteroides*, *Clostridium*, *Prevotella*, *Bifidobacterium*, *Lactobacillus*, *Escherichia*, *Ruminococcus* and *Streptococcus* [14]. Recent mapping of the faecal microbiota of Westerners indicates the presence of countless sub-species or strains [13, 15].

Large, inter-individual variation in composition is a defining feature of the intestinal microbial community. Each adult has a unique microbiota composition [13] that is comparatively stable in time but which is nevertheless responsive to environmental influences, particularly diet [16, 17]. Composition at the dominant phyla level (Bacteroidetes/Firmicutes) is reasonably stable whereas abundances of marginal phyla are more volatile [14, 18]. Longitudinal studies of small cohorts of healthy adults reveal that the vast majority of strains change little with time [18] unlike relative abundances which fluctuate wildly. Despite considerable inter-individual variation in composition, molecular analyses have shown that there is commonality among microbial genes encoding proteins involved in house-keeping metabolic activities [13, 15]. Substantial overlap in functional capacity among microbial populations provides a fitness advantage to the microbiota.

Evidence has emerged that disturbance of taxonomic configurations of the gut microbiota (dysbiosis) possibly underpins development and/or progression of numerous non-communicable diseases and disorders, obesity included [7, 19–21]. A greater understanding of the factors that drive microbial population changes, and their physiological consequences, is crucial in determining the role of the intestinal microbiota in human health and disease.

Evidence Implicating the Gut Microbiota in the Genesis of Obesity

Animal Studies

Animal studies have been instrumental in advancing knowledge of a possible role of the gut microbiota in obesity. Large intestinal microbial communities of lean and obese rodents have been shown to differ in composition, the latter having a greater relative abundance of Firmicutes and proportionately lower abundance of Bacteroidetes [6, 7]. There is also greater representation of archaea [8] and proteobacteria [4] in obese animals as well as evidence of changes at lower phylogenetic levels (e.g. reductions in bifidobacteria).

Germ-free mice have little body fat, and colonising them with microbiota from mice raised conventionally (conventionalisation) results in rapid weight gain and an increase in adiposity [8, 9]. Fat deposition was also accelerated with cecal and faecal transplants from obese animal or human donors [3, 6, 22]. Germ-free mice were also considered resistant to diet-induced obesity. Studies in these and gnotobiotic models demonstrate that the acquisition of a gut microbiota stimulates adipose tissue accretion and that an obese phenotype is mediated by an ‘obesogenic’ microbiota [22, 23].

But, not all studies have produced comparable outcomes. Some have shown that germ-free mice gain weight at a similar rate to conventional mice, that they are also susceptible to diet-induced obesity and that the degree of protection depends on the type of (high-fat) diet that is fed or on the duration of feeding [24, 25]. Furthermore, an increase in the Firmicutes:Bacteroidetes ratio in response to a high-fat diet can occur in the absence of obesity [26]. Clearly, diet and other exogenous factors modulate the structure of the gut microbiota and, in turn, development of an obese phenotype. Indeed, the microbiota and associated phenotype are highly responsive to dietary perturbations [27].

Laboratory rodents are appropriate models because their gut microbiota is quantitatively different but qualitatively similar to that of humans [28]. Nevertheless, all models have limitations and extrapolation of research findings to free living humans can be problematic. Obesogenic rodent diets employ very high levels of fat, mostly saturated, to trigger excessive adiposity and weight gain in susceptible animals. By contrast, chow-fed controls have substantially lower weight gains. Rodents are commonly housed in cages with bedding, which is invariably eaten and, consequently, may produce unintentional changes in microbiota composition and metabolic activity [29•]. Rodents are coprophagic, and cohousing arrangements may consequently influence energy flux and gut microbial community dynamics [22] and study outcomes. Despite genetic similarity, even in outbred lines, only a proportion of animals in a given cohort are susceptible to diet-induced obesity despite being exposed to the same obesogenic environment as their lean counterparts [30]. The obese phenotype is diet-dependent, and hyperphagia may mediate greater weight gain observed in susceptible animals [31]. Differences in feed use efficiencies, energy metabolism and lipid accretion are common explanations for the greater accumulative weight gain of obesity-prone rodents [30]. However, an earlier study using isotopic tracer methodology offers a simpler explanation that obese animals simply eat more food [32].

Human Studies: Lean vs Obese

Complementary research in humans has produced results that were essentially parallel to those from animals. Numerous observational and descriptive studies have found overweight,

obesity and weight gain to be associated with pronounced compositional shifts in intestinal microbiota. A higher Firmicutes:Bacteroidetes ratio, which was observed in initial studies, is widely considered a hallmark of obesity [10, 33, 34]. But as with animal studies, results are mixed. Many failed to find a link between body mass index (BMI), or obesity, and relative abundances of the two main bacterial phyla [35, 36]. A lower bacterial richness and diversity was sometimes but not always [37] associated with greater adiposity and/or weight gain. Discordant results were also reported for indices at lower phylogenetic classifications. For instance, obesity has been linked to an increase in *Lactobacillus* spp. [33, 38] and a decrease in *Bifidobacterium* [33, 34].

Angelakis and colleagues [39] conducted a meta-analysis on obesity-associated components of the commensal flora and reported that the faecal *Bifidobacterium* population was smaller in obese compared to lean individuals in five of the six cohorts included in the analysis. Their analyses also revealed fewer Firmicutes and Methanobrevibacter, but no significant association was found for *Lactobacillus* or Bacteroidetes and obesity. These findings are based on several individual studies, albeit with reasonably large sample sizes, but the total number of studies in the analysis is still small. An even more recent meta-analysis of high throughput molecular sequencing studies was also unable to uncover distinct microbial signatures for obesity [40]. An association between overweight and increased pathogenic bacterial load [34] is somewhat predictable but positive correlations between obesity (and overweight) and increases in bacteria normally considered conducive to host health, e.g. *Lactobacillus* [33, 38] and *Faecalibacterium prausnitzii* (a key butyrate producer with anti-inflammatory actions [41]), is less intuitive.

Weight reduction interventions have produced equally inconsistent outcomes. Increased proportions of *Lactobacillus* [34] and *Bacteroides* [42] have been associated with weight loss as has reduced numbers of *Bifidobacterium* [43, 44] and butyrate-producing bacteria (Firmicutes) [45]. The microbial response seems dependent on the nutrient composition of energy-reduced diets, particularly carbohydrate content [43, 45, 46]. According to Duncan et al. [42], weight loss itself neither drives nor is driven by associated changes in gut microbiota composition.

Factors Contributing to Gut Microbiota Compositional Variance

Aside from diet, there are myriad other factors that contribute to the large inter-individual variance in gut microbiota composition and, accordingly, potentially affect research outcomes and so could explain the lack of consistency among studies in compositional differences between lean and obese individuals. Study subject-related factors include age, gender, ethnicity/race, health status, frailty and living environment [47, 48].

Xenobiotics, including medications in addition to antibiotics, modulate microbiota composition [49]. Antibiotic use has long been associated with weight gain in humans and growth promotion in livestock. Emulsifiers commonly used in food manufacturing disrupt the gut microbiota-host relationship and possibly contribute to obesity [50]. Circadian disorganisation due to shift work, jet lag and sleep apnoea disrupts the large bowel microbiota [51].

Obesity is a major cause of morbidity, reduced quality of life and disability [52]. Risk for serious ailments, including cardiometabolic diseases [53] and certain cancers [54], is increased greatly. Emergent evidence indicates that faecal phylogenetic diversity and composition correlate with disease onset and progression [55, 56]. Accordingly, accurate characterisation and monitoring of clinical phenotypes in observational and experimental studies is of crucial importance. Most studies though have deployed simple, inexpensive anthropometric markers of general (and abdominal) obesity which fail to discriminate between fat and lean mass. Furthermore, adipose tissue depots differ markedly in metabolic activity [57]. Their anatomical distribution (e.g. visceral and regional adiposity) is a major determinant of metabolic disease development and risk, and differences in BMI categorisation could contribute to inconsistent findings between studies.

Sample relevance and integrity are also important considerations in delineating intrinsic associations between gut microbiota and host health. For logistical reasons, stool samples from free-living study cohorts are commonly used. The faecal microbiota serves as a proxy for the distal luminal colonic microbial community. Taxonomic and biochemical composition of the intra-colonic environment varies depending on the anatomical site and ecological niche [14, 45, 58]. Complete faecal collection, preferably over several days, rather than spot samples, is also an essential requirement given the inherent variation in faecal microbiology and biochemistry. Compliance by study participants with faecal collection, sampling and storage protocols (pre-DNA extraction) is vitally important for ensuring sample integrity and data precision.

Diet Shapes the Gut Microbiota

Amount and type of foods consumed have an overwhelming influence on the composition and function of the gut microbiota [16]. Diet accounts for almost 60 % of the total variance in gut microbiota composition in animals [59, 60]. In humans, it is about 10 %, which is despite greater individual variation in genotype and other influences [44]. The strong influence of diet, and dietary components, on the microbiota is clearly evident in neonates and young children [18, 61]. Dietary milk non-digestible carbohydrates [62, 63] guide the ontogenetic developmental trajectory of the infant gut microbiota, and those derived from breast milk augment infant gut microbial functional pathways [61] and composition [64]. Cessation of

breastfeeding, not the introduction of solid foods, appears to govern maturation of the microbiome [61], although increasing dietary nutrient diversity is associated with a concomitant expansion in microbial diversity and greater stability [18].

Dietary Patterns

Bacterial community structures generally reflect dietary patterns of the host. However, there have been few studies of dietary patterns (e.g. vegetarian, Mediterranean, western) within and across geographic regions, and the findings are somewhat contradictory. Generally, traditional, plant-based diets rich in non-digestible polysaccharides are associated with greater representation of Bacteroidetes [65, 66], which is not the case for western diets, which are typically high in fat and refined carbohydrates and low in dietary fibre, see [65, 67]. Dietary carbohydrates support greater abundance of *Bifidobacterium* and *Clostridium* clusters IV (*Ruminococcaceae*) and XIVa (*Lachnospiraceae*) [43, 68], but this depends on the type of carbohydrate [44].

Individual Foods and Dietary Constituents

The impact of diet, and to a larger degree individual dietary components, on the composition and activity of the gut microbiota remains poorly defined. The nature of the response has been shown to be dependent somewhat on baseline (pre-intervention) phylogenetic configuration [69] but faecal microbial diversity does not seem a reliable predictor [44]. The possibility of carryover effects of habitual and treatment diets [70] also needs careful consideration when designing clinical (and animal) studies.

Nutrients entering the large bowel ecosystem have a major bearing on microbiota form and function. Non-starch polysaccharides (NSP) account for 20 to 45 % of the dry matter supplied to the colon, monosaccharides and oligosaccharides a further 10 %, and starch and its partial hydrolysis products less than 10 % [71]. Most diets deliver small amounts of sugar alcohols and various low molecular weight carbohydrates, such as fructans, to the microbiota [72]. Certain carbohydrates, such as fructans and resistant starches, have profound stimulatory effects on large bowel microbiota activity [43, 46, 73]. While poorly fermented dietary fibres do not contribute directly to the chemical milieu of the gut lumen, they may provide a physical scaffold for resident and transitory microbes, thereby facilitating fermentation processes. Their presence also modulates intestinal motility, digesta transit and, accordingly, fermentation events.

Modern diets supply variable amounts of protein (5–15 g) and lipid (5–10 g) to the colon [67]. Whereas carbohydrates generally produce fermentation patterns that favour host health [74], dietary lipids and protein tend to have the opposite effect [75]. Amount and type of protein consumed have

differential effects on the large bowel ecosystem and mucosal health [76–78]. A protein surplus relative to fermentable carbohydrate results in putrefactive fermentation and production of potentially toxic metabolites [74, 78]. The effect of dietary protein and fat on the gut microbiota has been reviewed recently [79].

A diversity of minor dietary-derived compounds found in plant foods impacts the gut microbiota, including polyphenols, lignin, carotenoids and tannins [80, 81]. Polyphenols are quantitatively the most important of these and although only small amounts (~1 g/day) enter the colon, they have a disproportionate influence on microbial population structures and metabolic activity [81, 82].

Diet does not Reliably Reflect Nutrient Supply to the Colonic Microbiota

Diet is an inaccurate gauge of substrate supply to the large bowel because numerous factors influence assimilation of foods in the upper gut. Many foods, especially those rich in dietary fibre, such as whole grains and brans, comprise a complex mix of non-digestible carbohydrates intimately associated with many different plant secondary compounds (co-passengers). The physical form modulates large bowel physiology and microbiota, and food components may act independently, additively and synergistically [83, 84]. Some dietary fibres for instance reduce small intestinal and whole tract digestibility of protein and fat [85]. Predicting interactions among dietary constituents is further complicated by processing, storage and preparation of component foods. Small intestinal functional capacity and digesta transit rate differ greatly between individuals, and some are far more efficient in digesting [86] and fermenting starch [44]. The capacity of the gut microbiota to utilise dietary fibre may be diminished in certain diseases, such as ulcerative colitis [87••].

Microbiota and Energy Harvest

Several interdependent mechanisms have been forwarded to explain the possible link between gut microbiota and obesity. Most are based on mechanistic evidence from animal studies, but there is limited evidence from human studies that a ‘dysbiotic’ microbiota is geared to convey more dietary energy to the host. Gordon and colleagues [9, 88] first conceived the intriguing notion that the microbiota of obese subjects has a greater propensity to extract more energy from the diet. The gut microbiota, no doubt, confers an evolutionary advantage to humans by salvaging dietary energy, mainly from dietary fibres, which would otherwise be inaccessible. Short chain fatty acids (SCFA) produced by colonic fermentation of carbohydrates (and protein) are absorbed by the bowel and supply the host with energy. SCFA also regulate food (energy)

intake and host metabolism through immune and neuroendocrine mechanisms [89].

That the microbiota increase energy flow to the host has been inferred mainly from increased SCFA levels and hydrolytic gene enrichment in microbiota of obese compared to lean subjects [6, 8, 9, 36, 88]. But, as with animal studies, increased SCFA levels did not always align with expected changes in major phyla abundances.

SCFA are minor energy sources for humans, supplying at most 10 % of energy requirements, although, for those consuming western diets, which are inherently low in fermentable carbohydrates, their contribution is likely to be only about 2 %. Luminal SCFA levels are highest in the proximal colon, the site of most active fermentation, and decline in an aboral direction. Because they are also rapidly absorbed by colonocytes, only ~5 % appear in faeces [90, 91]. Inter-individual differences in faecal SCFA concentrations are large and responses to dietary intervention highly variable [92]. Reported differences in faecal SCFA concentrations between lean, and overweight or obese, individuals are small (~20–30 %) [46, 93]. SCFA pools (total amount excreted in faeces or in digesta), perhaps a more informative metric in this context, were not reported. Quantitative information on SCFA flux is scarce and exceedingly difficult to acquire, especially in humans.

Reasons for the purportedly larger supply of dietary energy to the host are not apparent. Obese subjects need to eat more food to sustain a larger body mass and consequently more substrate would have reached the colon [94], presumably producing more SCFA. Upregulation of microbial saccharolytic capacity [6] is consistent with this scenario. Diet, and in particular the amount and type of fermentable fibres, is closely linked to gut microbial activity as reflected in faecal fermentation patterns and portal SCFA absorption [90].

Alternatively, an obesogenic (dysbiotic) microbiota might also be capable of fermenting a greater proportion of dietary fibre. About 75 % of fibre in mixed diets is fermented in the large intestine [91]. Further, energy gains could be achieved through greater fermentation efficiency. However, fibre consumption in most Western populations is low (<20 g/day for an adult [95]) and is a fraction of that consumed by ancestral populations [96]. Nevertheless, even a modest reduction in energy intake (~400 kJ/day) would help offset weight gain [97]. Whether such small improvements in energy balance would make meaningful inroads into combating the obesity problem though is a matter of debate.

Some of the established functions of SCFA, including their anorexigenic actions [98], argue against a role in dietary energy gain and so does the well-established role for dietary fibre in human health. A substantial body of evidence demonstrates that fibre is both preventative and therapeutic for major non-communicable diseases, including obesity and many of its comorbidities [99]. Animal studies show that dietary fibre

consumption not only reduces food intake, weight gain and adiposity but it also improves indices of metabolic health [100, 101]. Nevertheless, the benefits of fibre may not be realised if the background diet is rich in fat [100, 101]. den Besten et al. [89] suggest that the beneficial actions of SCFA may be compromised in obese individuals or are insufficient to counter the detrimental effects of poor diet. Resistant starch fermentation is reduced, and its effects on adiposity diminished in rodents fed a high-fat diet [100]. Resistant starch fermentation is also impaired in obese but not lean mice [102], and higher dietary levels of this fibre may be necessary to reduce adiposity in obese phenotypes [103].

Conclusions

No signature faecal microbial profile of obesity has been found, and it is unclear if gut microbes are involved and how they might bring about weight gain and excessive adiposity. Human studies to date are sparse, mostly small scale and the findings are mixed. It is not yet known if microbial differences observed between lean and overweight or obese phenotypes are a cause or a consequence of human obesity.

Multiple exogenous factors influence intestinal microbiota composition and modulate the complex and dynamic interaction between it and its host. Diet is inextricably linked to both parties, and the age-old adage you are what you eat also certainly holds true for our gut microbiota. To understand whether the activity or content of the intestinal microbial community influences the energy economy of the host in a meaningful way will require well-designed randomized controlled trials using larger cohorts in which major confounding variables and interacting factors, especially diet, are better defined and tightly controlled.

Nonetheless, microbial ‘dysbiosis’, at least in the context of obesity, is essentially a manifestation of an unhealthy lifestyle, notably poor diet and possibly physical inactivity. It is also potentially an indicator of an individual’s risk of developing obesity-related health problems. But, regardless of whether the intestinal ecosystem abets adipose tissue accretion or not, the primary driver and overwhelming significance of poor lifestyle choices, and in particular excessive energy consumption, in the global obesity epidemic must be placed in perspective.

Compliance with Ethical Standards

Conflict of Interest Anthony R. Bird and Michael A. Conlon declare that they have no conflict of interest.

Human and Animal Rights and Informed Consent This article does not contain any studies with human or animal subjects performed by any of the authors.

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